



Receipt #11
Attorney's Docket No.: 00530-079003

TECH CENTER 1600-2900

NOV 19 2001

RECEIVED

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ruth Sager et al.

Art Unit : 1642

Serial No. : 09/617,174

Examiner :

Filed : July 17, 2000

Title : MASPIN TRANSCRIPTIONAL REGULATORY SEQUENCES AND USES THEREOF

Commissioner for Patents
Washington, D.C. 20231

THIRD REQUEST TO CORRECT OFFICIAL FILING RECEIPT

Enclosed is a copy of the corrected Official Filing Receipt received in the above-referenced application.

Applicants have noted that errors still remain and request that the following corrections be made.

1. The filing date of priority application no. 09/155,380 must be inserted as noted in red on the attached copy of the Official Filing Receipt (e.g., THIS APPLICATION IS A CON OF 09/155,380 9/28/1998 ABN)

2. The title of the application is not listed on the Official Filing Receipt. Please insert the title of the application as follows: MASPIN TRANSCRIPTIONAL REGULATORY SEQUENCES AND USES THEREOF (as requested on the Preliminary Amendment filed on February 27, 2001).

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

September 13, 2001
Date of Deposit

Carrie A. Amonte
Signature

Carrie A. Amonte
Typed or Printed Name of Person Signing Certificate

Applicant : Ruth Sager et al.
Serial No. : 09/617,174
Filed : July 17, 2000
Page : 2

Attorney's Docket No.: 00530-079003

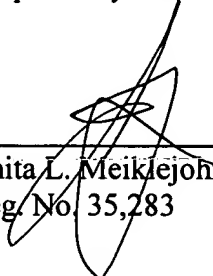
REMARKS

This is a third request for correction of Official Filing Receipt. Applicants would appreciate receiving a correct Official Filing Receipt in an expedited manner..

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 13 Sept 2001



Anita L. Meiklejohn, Ph.D.
Reg. No. 35,283

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804
Telephone: (617) 542-5070
Facsimile: (617) 542-8906

Page 1 of 4
RECEIVEDNOV 19 2001 **SKM**TECH CENTER 1600/2900 **ALM**COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/617,174	07/17/2000	1642	653	00530-079003	12	18	5

CONFIRMATION NO. 8398

CORRECTED FILING RECEIPT



OC000000006475385

Anita L Meiklejohn PH D
Fish & Richardson PC
225 Franklin Street
Boston, MA 02110-2804

Date Mailed: 08/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)Ruth Sager, Brookline, MA, Deceased;
Arthur Pardee, Brookline, MA, Legal Representative;
Ming Zhang, Pearland, TX;**Domestic Priority data as claimed by applicant**THIS APPLICATION IS A CON OF 09/155,380 ABN
WHICH IS A CON OF PCT/US97/05186 03/28/1997
WHICH CLAIMS BENEFIT OF 60/014,368 03/28/1996

9/28/1998

RECEIVED**Foreign Applications**Title: **MASPIN TRANSCRIPTIONAL REGULATORY SEQUENCES** AUG 29 2001
AND USES THEREOF

If Required, Foreign Filing License Granted 09/06/2000

FISH & RICHARDSON, P.C.
BOSTON OFFICE

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Docketed By: **Proces System**
Action: **Correct OFR**
Base Date: **8/27/01**
Due: **9/27/01**
Deadline: **-**
Initials: **PEC**
Record: **-**

Docketed By: **Billing Secretary**
Due Date: **9/27/01**
Deadline: **9/27/01**
Initials: **LA**

Title

Maspin transcriptional regulatory sequences and uses thereof

Preliminary Class

435

Data entry by : TOWLER, VERNON

Team : 2600

Date: 08/27/2001



RECEIVED

NOV 19 2001



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

TECH CENTER 1600/2900

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

FILE COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 8398

SERIAL NUMBER 09/617,174	FILING DATE 07/17/2000 RULE	CLASS 435	GROUP ART UNIT 1642	ATTORNEY DOCKET NO. 005391079003
------------------------------------	---	---------------------	-------------------------------	--

RECEIVED
JUL 19 2001
TECHNICAL 1600/2900

APPLICANTS
Ruth Sager, Brookline, MA, Deceased;
Arthur Pardee, Brookline, MA, Legal Representative;
Ming Zhang, Pearland, TX;

**** CONTINUING DATA *******
THIS APPLICATION IS A CON OF 09/155,380 ABN *INCOMPLETE APPLICATION*
WHICH IS A CON OF PCT/US97/05186 03/28/1997
WHICH CLAIMS BENEFIT OF 60/014,368 03/28/1996

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
** 09/06/2000

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY MA	SHEETS DRAWING 12	TOTAL CLAIMS 18	INDEPENDENT CLAIMS 5
---	-------------------------------	-----------------------------	---------------------------	--------------------------------

35 USC 119 (a-d) conditions met ☐ yes ☐ no ☐ Met after Allowance

Verified and Acknowledged
Examiner's Signature: *[Signature]* Initials: *SR*

ADDRESS
Anita L Meiklejohn PH D
Fish & Richardson PC
225 Franklin Street
Boston, MA 02110-2804

TITLE
Maspin transcriptional regulatory sequences and uses thereof

FILING FEE RECEIVED 653	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-----------------------------------	---	---